

S/N Unknown

10/594099  
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew Pacey  
Serial No.: Unknown  
Filed: Herewith  
Title: GENE

Examiner: Unknown  
Group Art Unit: Unknown  
Docket: 697.031US1

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement.

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Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications, and Non-Published Applications identifiable by USPTO Serial Number, are no longer required to be provided to the Office. Notification of this change to this effect was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004 and October 19, 2004. Thus, Applicant has not included copies of any US Patents or US Patent Applications identifiable by serial number that may be cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,  
ANDREW PACEY

By his Representatives,  
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Date Sept 25, 2006

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Date of Deposit: September 25, 2006

This paper or fee is being deposited on the date indicated above with the United States Postal Service pursuant to 37 CFR 1.10, and is addressed to The Commissioner for Patents, MS PCT, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number

Unknown

10/594099

Filing Date

Even Date Herewith

First Named Inventor

Pacey, Andrew

Group Art Unit

Unknown

Examiner Name

Unknown

Sheet 1 of 1

Attorney Docket No: 697.031US1

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
	US-2001/0041865A1	11/15/2001	Delaney, Dave, et al.	07/23/2001
	US-2004/0253212A1	12/16/2004	Koiwai, Kazunori, et al.	05/07/2004
	US-5,411,479	05/02/1995	Bodden, William L.	04/30/1993
	US-5,922,687	07/13/1999	Mann, Michael J., et al.	11/07/1996
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	US-6,685,672	02/03/2004	Forman, Michael R.	07/13/2000

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"International Search Report for Application No. PCT/GB2005/001243, dated July 27, 2005", 6 Pages	
		HABIB, NAGY A., et al., "E1B-Deleted Adenovirus (dl1520) Gene Therapy for patients with Primary and Secondary Liver Tumors", <u>Human Gene Therapy</u> , Vol. 12, (2/10/01), 219-226	
		LIU, F., et al., "Hydrodynamics-based transfection in animals by systemic administration of plasmid DNA", <u>Gene Therapy</u> , Vol. 6, (1999), 1258-1266	
		REID, TONY, et al., "Hepatic Arterial Infusion of a Replication-selective Oncolytic Adenovirus (dl1520): Phase II Viral, Immunologic, and Clinical Endpoints", <u>Cancer Research</u> , Vol. 62, (11/1/02), 6070-6079	
		RHODES, III, WILLIAM E., "Advanced Delivery Devices", <u>Drug Delivery Technology</u> , <a href="http://www.drugdeliverytech.com/cgi-bin/articles.cgi?idArticle=77">http://www.drugdeliverytech.com/cgi-bin/articles.cgi?idArticle=77</a> , (Observed 5/3/04), 4 Pages	

**EXAMINER****DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached